

■ FICTIONAL SAMPLE - FOR ILLUSTRATION ONLY - NOT FOR RELIANCE

01 / DECISION BRIEF

Acceptance / Handover Red-Flag Review

Westbridge Packaging Ltd. (Fictional) - Case Packer Cell 04 Controls Upgrade

21 October 2026

DECISION UNDER REVIEW

Whether supplied closeout evidence is sufficient to support customer sign-off after post-FAT changes.

REVIEW BASIS

Seven redacted project records supplied for desktop review only.

PREPARED BY

Ilori - Independent Consultant

REVIEW BOUNDARY

No site access, production access, source-code verification, safety validation or certification.

Review instruction. Determine whether the supplied records are sufficient to support final customer acceptance following documented post-FAT controls changes.

Executive conclusion

DECISION NOTE

The supplied records support completion of FAT against the pre-change baseline, but they do not yet establish that the final deployed controls configuration is fully bound to the completed acceptance testing.

This is an evidence-sufficiency finding, not a statement that the system is unsafe, non-compliant, or incorrectly commissioned.

The principal gap is traceability between post-FAT changes, the scope of subsequent retesting, and the configuration represented in the final handover package.

Review convention. "Supported" means the supplied records directly support the stated relationship. "Not established" means the relationship is not demonstrated by the supplied records. It does not mean the underlying activity did not occur.

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02 / FINDINGS

Material red flags

RF-01

Post-FAT changes are not fully mapped to retest evidence.

HIGH

Four controls/configuration changes are recorded after FAT. The supplied SAT record shows selective retesting, but does not identify which change each retest closes.

RF-02

Final controls backup is not explicitly bound to SAT.

HIGH

A final PLC backup is listed in the handover index; however, the SAT record does not state the software revision, project identifier, or comparison record used at test execution.

RF-03

Drive parameter change is not represented in the final parameter record.

MEDIUM

The change log records a VFD acceleration-ramp adjustment during commissioning. No final parameter export or equivalent record was supplied.

RF-04

Handover index is complete in form but not yet evidenced as final/as-built.

MEDIUM

The index lists drawings, backups and manuals, but the supplied copy does not show final approval of the as-built package or closure of two referenced deviations.

Recommended action before sign-off

Do not treat the current package as disproving successful commissioning. Instead, hold final evidence closure to a short, targeted set of actions: map each post-FAT change to retest evidence, identify the configuration represented at SAT, capture the final drive/control backups together, and close or explicitly accept remaining deviations.

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03 / SUPPLIED RECORDS

Evidence traceability review

Evidence identifiers and dates below are fictional and shown only to illustrate the work product.

Evidence reviewed

Ref / Evidence item Date / rev. / Status	What the item supports	Limitation / gap
E01 FAT Test Record FAT-CP04-RevC 06 Oct 2026 SUPPORTED	47 FAT steps completed against the then-current project baseline; two deviations recorded.	Predates four later controls/configuration changes.
E02 Controls Change Log CL-CP04-RevB 06-17 Oct 2026 PARTIAL	Records post-FAT changes to alarm delay, recipe validation, VFD ramp and photoeye debounce.	No direct reference from each change to a retest case/result.
E03 PLC project backup filename as supplied 18 Oct 2026 NOT ESTABLISHED	Shows that a final controller backup was included in the supplied package.	No independent configuration-identity check was supplied; SAT does not state this exact backup/revision.
E04 SAT Record SAT-CP04-RevA 18 Oct 2026 PARTIAL	12 functions retested on site and signed by integrator and owner representative.	Does not state software/configuration identifier or map tests to post-FAT changes.
E05 Punch List PL-CP04-RevD 19 Oct 2026 PARTIAL	24 listed punch items shown closed.	Two commissioning notes reference field edits without linked change IDs.
E06 Electrical redlines DRW-CP04-RevF 19 Oct 2026 PARTIAL	Shows field drawing updates were captured in the redline set.	No supplied evidence that redlines were incorporated into the final issued drawings.
E07 Handover Index HD-CP04-RevA 20 Oct 2026 PARTIAL	Lists PLC/HMI backups, drawings, manuals and operator material for turnover.	No final/as-built approval shown; VFD parameter export not listed.

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Decision-chain check

Relationship	Review observation	Assessment
Tested baseline -> FAT result	FAT record identifies the project and captures signed results/deviations for the pre-change baseline.	SUPPORTED
FAT -> later changes	Change log demonstrates that changes occurred after FAT.	SUPPORTED
Later changes -> retest scope	Selective SAT retesting is documented, but change-to-test mapping is absent.	NOT ESTABLISHED
Retest -> final configuration	No supplied record identifies the exact controller/HMI/drive configuration used for SAT.	NOT ESTABLISHED
Final configuration -> handover package	Final backup is listed, but drive parameter state and final drawing incorporation are incomplete.	PARTIAL
Open deviations -> acceptance	Two deviations are referenced; explicit closure or owner acceptance was not supplied.	NOT ESTABLISHED

Important boundary: this review does not determine whether the controller backup is the live production version, whether tests were technically adequate, or whether any safety/compliance requirement was satisfied. Those questions require separate evidence and, where applicable, competent engineering authority.

Questions and closeout actions

Targeted items to resolve before relying on the package for final sign-off.

Questions for the project team

- Q1** Which post-FAT changes in CL-CP04-RevB were covered by SAT retest, and where is the change-to-test mapping recorded?
- Q2** What project/version/configuration identifier was present in the PLC and HMI when SAT was executed?
- Q3** Was the supplied PLC backup captured after the final field edit, and what record binds it to the tested/deployed state?
- Q4** Was the commissioning VFD acceleration-ramp change captured in a final parameter export or equivalent as-built record?
- Q5** Were deviations D-12 and D-15 formally closed, owner-accepted, or carried as known exceptions into handover?
- Q6** Were Rev F redlines incorporated into the final issued/as-built drawings, and who approved that issue?

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Priority closeout actions

- 1 Create a change-to-test closure matrix** **P1**
Map each post-FAT change to affected functions, retest case/result, date and approver. Where no retest was required, record the engineering rationale and owner acceptance if applicable.
- 2 Bind SAT to the tested configuration** **P1**
Add the controller project identifier/version, HMI revision, relevant drive parameter set, and a traceable test reference.
- 3 Capture final controls and drive records together** **P2**
Confirm final PLC/HMI backups and VFD state after the last field change, then list them consistently in the handover index.
- 4 Close documentation and deviations** **P2**
Issue final/as-built drawings, close or explicitly accept remaining deviations, and align the handover index to the package transferred.

Suggested acceptance note

EXAMPLE WORDING FOR THE PROJECT TEAM

Acceptance may proceed once the project record demonstrates the relationship between post-FAT changes, the resulting retest scope, and the final configuration being handed over, or once any remaining exceptions are explicitly documented and accepted by the appropriate project authority.

Scope and non-claims

INCLUDED

Desktop review of supplied redacted records; evidence relationship checks; red flags; questions; prioritized closeout actions.

NOT INCLUDED

Certification; safety/compliance determination; field validation; production access; source-code audit; independent configuration-identity or live-configuration proof.

Document control

Rev.	Date	Prepared by	Description
0	21 Oct 2026	Ilori	Initial fictional sample.
1	21 Oct 2026	Ilori	Terminology and review-boundary refinements; added explicit review instruction.

Reliance statement. This is a fictional sample. Names, dates, identifiers, evidence items and findings are invented for illustration and must not be relied upon for operational, contractual, safety, compliance or acceptance decisions.